

**PART B - FEE(S) TRANSMITTAL**

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47394 7590 04/02/2010  
**HITT GAINES, PC**  
 ALCATEL-LUCENT  
 PO BOX 832570  
 RICHARDSON, TX 75083  
 06/28/2010 HDESTA2 0000033 122325 10727709

01 FC:1501 1510.00 DA  
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*Patty Giebler* (Depositor's name)  
*Patty Giebler* (Signature)  
*6-22-10* (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/727,709      | 12/04/2003  | Zhenan Bao           | BAO 39              | 6929             |

TITLE OF INVENTION: OFETS WITH ACTIVE CHANNELS FORMED OF DENSIFIED LAYERS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 07/02/2010 |

| EXAMINER        | ART UNIT | CLASS-SUBCLASS |
|-----------------|----------|----------------|
| LOUIE, WAI SING | 2814     | 257-040000     |

|  |   |                       |
|--|---|-----------------------|
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).   | 2. For printing on the patent front page, list<br>(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,<br>(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | <i>Hitt Gaines PC</i> |
| <input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  |   | <i>1</i>              |
| <input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |   | <i>2</i>              |
|  |   | <i>3</i>              |

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PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**Alcatel-Lucent USA Inc.**  
**Murray Hill, NJ (US)**

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

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5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

*Patty Giebler*

Date *6-22-10*

Typed or printed name

*Patty Giebler*

Registration No. \_\_\_\_\_

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*Hitt Gaines PC*  
1  
2  
3

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